ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System, Method and Computer Program Product for Processing Event Records

Application Number : 10/627033

Confirmation Number: 3709

First Named Applicant: John Gavan

Attorney Docket Number: COS94041C1

Art Unit:

Examiner:

Search string: (6601048 or 6732082).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CA	1	6601048	2003-07-29	Gavan et al.			
CA	2	6732082	2004-05-04	Gavan et al.			

Signature

Examiner Name	Date
/Charles Agwumezie/	06/14/2006

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT *(Use several sheets if necessary)*	ATTY. DOCKET NO. COS94041CI	SERIAL NO. NOT ASSIGNED

APPLICANT(S): Gavan et al.	
FILING DATE July 24, 2003	GROUP NOT ASSIGNED

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CA		4,002,848	01/1997	Stein			
		4,154,983	05/1979	Pedersen			
		4,464,543	08/1984	Kline et al.			
		4,805,209	02/1989	Baker, Jr. et al.			
		5,048,079	09/1991	Harrington et al.			
		5,003,584	03/1991	Benyacar et al.			
		5,159,698	10/1992	Harrington et al.			
		5,233,642	08/1993	Renton			
		5,263,120	11/1993	Bickel			
		5,291,547	03/1994	Boatwright et al.			
		5,311,572	05/1994	Friedes et al.			
		5,313,641	05/1994	Simcoe et al.			
		5,325,290	06/1994	Cauffman et al.			
		5,327,144	07/1994	Stilp et al.			
		5,335,278	08/1994	Matchett et al.			
CA		5,345,595	09/1994	Johnson et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
CA		96/31043	10/1996	WO				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

CA		Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4
		Garner, B.; Chen, F., Hypothesis generation paradigm for fraud detection, TENCON '94. IEEE Region 10's Ninth Annual International Conference. Theme: Frontiers of Computer Technology Proceedings of 1994, 22-26 Aug. 1994, Pages 197-201 vol. 1
CA		Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316

EXAMINER /Charles Agwumezie/	DATE CONSIDERED 06/14/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT *(Use several sheets if necessary)*	ATTY. DOCKET NO. COS94041CI	SERIAL NO. NOT ASSIGNED
		APPLICANT(S): Gavan et al.	
		FILING DATE July 24, 2003	GROUP NOT ASSIGNED

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CA		5,375,244	12/1994	McNair			
		5,418,844	05/1995	Morrisey et al.			
		5,432,845	07/1995	Burd et al.			
		5,463,681	10/1995	Vaios et al.			
		5,475,746	12/1995	Miller et al.			
		5,495,521	02/1996	Rangachar			
		5,504,810	04/1996	McNair			
		5,506,893	04/1996	Buscher et al.			
		5,515,425	05/1996	Penzias et al.			
		5,515,427	05/1996	Carlsen et al.			
		5,517,555	05/1996	Amadon et al.			
		5,574,782	11/1996	Baird et al.			
		5,586,175	12/1996	Hogan et al.			
		5,592,530	01/1997	Brockman et al.			
		5,596,632	01/1997	Curtis et al.			
CA		5,602,906	02/1997	Phelps			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

CA		Scarfe, R.T.; Shortland, R.J., Data Mining Applications in BT, Knowledge Discovery in Databases, [IEE Colloquium on], 1995, Pages 5/1-5/4
		Sternberg, M.; Reynolds, R.G., Using cultural algorithms to support re-engineering of rule-based expert systems in dynamic performance environments: a case study in fraud detection, Evolutionary Computation, IEEE Transactions on, Volume: 14, Nov. 1997, Pages: 225-243
		Watkins, P.R.; Lin, T.W.; O'Leary, D.E., AI integration for enchanced decision support, Systems Sciences, 1992. Proceedings of the Twenty-Fifth Hawaii International Conference on, Volume: III, 7-10 Jan. 1992, Pages(s): 133-144 vol. 3
		META Group, Inc. "Advanced Information Management", August 29, 1994.
CA		Calem, Robert E., "To Catch A Thief" Forbes ASAP, pp. 44-45, date unknown

EXAMINER /Charles Agwumezie/	DATE CONSIDERED 06/14/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. COS94041CI	SERIAL NO. NOT ASSIGNED

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT(S): Gavan et al.	
FILING DATE July 24, 2003	GROUP NOT ASSIGNED

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CA		5,606,600	02/1997	Elliot et al.			
		5,627,886	05/1997	Bowman			
		5,640,446	06/1997	Everett et al			
		5,768,354	06/1998	Lange et al.			
		5,790,645	08/1998	Fawcett et al.			
		5,805,686	09/1998	Moller et al.			
		5,854,834	12/1998	Gottlieb et al.			
		5,875,236	02/1999	Jankowitz et al.			
		5,907,602	05/1999	Peel et al.			
		5,963,625	10/1999	Kawecki et al.			
		6,208,720	03/2001	Curtis et al.			
CA							

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER /Charles Agwumezie/	DATE CONSIDERED 06/14/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.